

Verb Phrase Ellipsis as Discourse Reference

Till Poppels & Andrew Kehler
UC San Diego

Introduction

Can you see the remote control anywhere?

I can't, sorry!

2 broad questions

- **Acceptability:** under what conditions is VPE grammatical?
- **Meaning:** how does it obtain its meaning?

Identity analysis

I can't [VP see the remote].
→ **Acceptable** iff elided VP is identical to antecedent VP
→ **Meaning** derived from elided VP

Referential analysis

I can't <PRO>.
→ **Acceptable** when referent available or "accommodatable"
→ **Meaning** through reference

(Merchant, 2013; Kehler, 2015; Liptak, 2015; among many others)

Experiment

Goal: find acceptable VPE where meaning deviates from antecedent

- (1) **Spectator:** Can I please see that card trick one more time?
a. **Magician:** I'm sorry, you can't. (NO-CHANGE variant)
b. **Magician:** I'm sorry, I can't. (CHANGE variant)

Acceptability Judgment Task

Spectator: Can I see that card trick one more time?
Magician: I'm sorry, I can't.

(Consider what the second speaker says. Is this an acceptable English sentence/question in this context?)

(unacceptable) 1 2 3 4 5 (fully acceptable)

Use number keys or click boxes to answer.

Paraphrase Task

A: Can I borrow your textbook over the weekend?
B: I can't, sorry: I'll need it myself.

(What exactly does the second speaker mean?)

B: "I can't lend it to you, sorry: I'll need it myself."

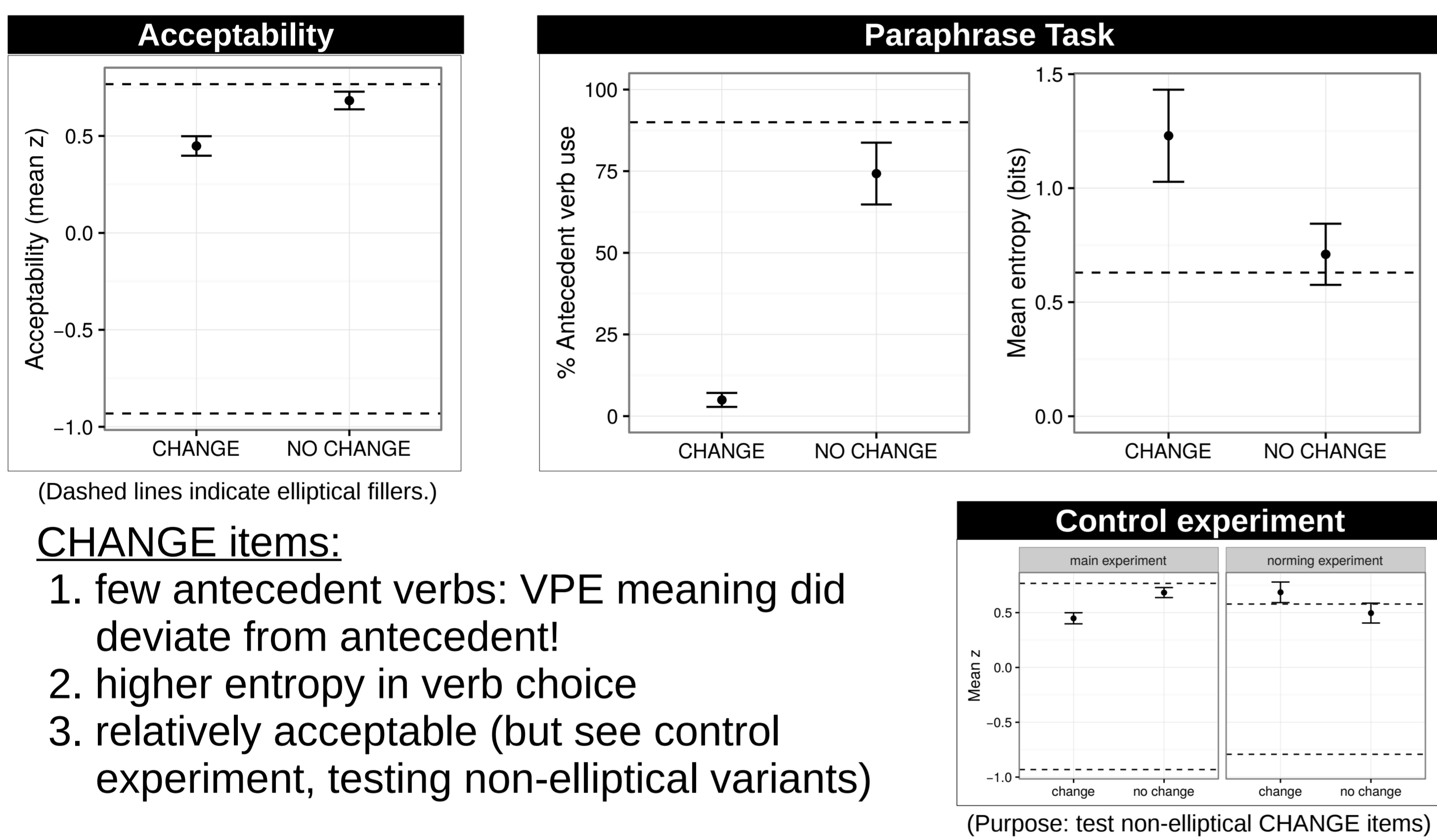
→ Click here to continue

Methods

- 20 participants (Mturk), 10 items
- 40 filler items
- 10 upper-bound elliptical fillers
- 10 lower-bound elliptical fillers
- 10 upper-bound non-elliptical fillers
- 10 lower-bound non-elliptical fillers

Block design:
1. Acceptability judgment task;
2. Paraphrase task;

Aggregate Results



CHANGE items:

1. few antecedent verbs: VPE meaning did deviate from antecedent!
2. higher entropy in verb choice
3. relatively acceptable (but see control experiment, testing non-elliptical variants)

Sample paraphrases

Elliptical filler item	NO-CHANGE item	CHANGE item
see it anywhere see it see it see it find it see it see it anywhere see the remote control anywhere see it see the remote control anywhere see the remote	borrow the textbook borrow it borrow my textbook borrow my textbook over the weekend borrow it borrow it borrow my textbook borrow my textbook	lend you the book let your borrow the textbook lend it to you lend it lend it to you let you borrow it lend you the book loan you it lend you my textbook over the weekend lend you my textbook.
% antecedent verb: 95% Entropy in verb choice: 0.19 bits	% antecedent verb: 100% Entropy in verb choice: 0 bits	% antecedent verb: 0% Entropy in verb choice: 1.2 bits

VPE patterns with nominal reference

	Nominal Reference	VPE
Non-local antecedents	Jon collapsed into the arm chair. This had been a particularly long day at work. Not knowing what to do now that it was over, he turned on the TV.	The thought came back, the one nagging at him these past four days. He tried to stifle it. But the words were forming. He knew he couldn't <PRO>. (Hardt, 1990)
Cataphora	If she calls you, Hailey is probably bored.	If you really want to <PRO>, we can go to the mall. (Kehler, 2015)
Exophora	Did you hear that?!	Don't <PRO>! (Miller & Pullum, 2013)
Split antecedents	Hailey met up with Jordan so they could have lunch together.	Bruce can walk and he can chew gum. Sarah can <PRO>, too, but not at the same time. (adapted from Webber, 1978)

The role of world knowledge

- (2) **Spectator:** Can I please see that card trick one more time?
Magician: I'm sorry, I can't <PRO>.
>> 50% [show you the card trick again]
>> 50% [do the card trick again]
- (3) **A:** Before Trump got elected, people demanded to see his tax returns, but he refused.
B: And now that he's president, I don't think he ever will <PRO>.
>> 63% [release his tax returns]
>> 27% [show his tax returns]
>> 9% [provide his tax returns]

Discussion

- **Identity analysis:** CHANGE items should be ungrammatical
- **Referential analysis:** CHANGE items require "bridging inferences" (Clark, 1975)
- **Extended ID analysis:** inferring syntax
- Recycling (Arregui et al. 2006; Frazier, 2013)
- Antecedent Accommodation (Thoms, 2015; Van Craenenbroek, 2013)
- **However:**
- inferring syntax requires discourse-level inferences
- VPE does *not* resist pragmatic control
- Bridging inferences and accommodation remain poorly understood – work to be done!

Bridging inferences

- (4) He arrived to the court house and climbed the stairs.
- (5) I got into the cab and told him where to go.

We have...

- Demonstrated that VPE meanings can be inferred
- Developed experimental task for tackling meaning question

Partee's marbles

- (6) a. I dropped 10 marbles and found all but one of them. It might be under the sofa.
b. I dropped 10 marbles and found 9 of them. # It might be under the sofa.

(due to Barbara Partee)

The pants/scissors effect

- (7) a. Have you seen my grey pants? I can't find them/*it.
b. Can you pass me the scissors? – Here they are (*it is).

(Jackendoff & Culicover, 2005)

An outstanding puzzle & future research

- (8) After the test I wasn't sure if I had passed or not? As it turns out...
a. ...I did <PRO>. [pass][*fail]
b. ...I didn't <PRO>. [pass][*fail]
c. ...it's less likely than I thought. [pass][*fail]

Question: why is it OK to infer [show] from see, but not [fail] from pass?

Next steps:

- replicate effect with larger item set
- compare explicitly to bridging inferences in nominal domain
- extend referential analysis to other "mismatches"

Acknowledgements

This work is has greatly benefited from insightful comments from Roger Levy, Ivano Caponigro, Dave Barner, Vic Ferreira, members of UCSD Semantics Babble and Experimental Syntax Lab, as well as audiences at CLS 53 and ECBAE 2017. We gratefully acknowledge support from NSF grant BCS-1456081.

References

- Arregui, A., Clifton, C., Frazier, L., and Moulton, K (2006). Processing elided verb phrases with flawed antecedents: The recycling hypothesis. *Journal of Memory and Language*, 55(2):232-246.
- Clark, H. (1975). Bridging. In *Proceedings of the 1975 workshop on Theoretical issues in natural language processing*, Stroudsburg, PA, USA. Association for Computational Linguistics.
- Culicover, P. and Jackendoff, R. (2005). *Simpler syntax*.
- Frazier, L. (2013). A recycling approach to processing ellipsis. In Cheng, L. L.-S. and Corver, N., editors, *Diagnosing Syntax*.
- Kehler, A. (2015). *Ellipsis and Discourse*.
- Merchant, J. (2013). *Ellipsis: A survey of analytical approaches*. In *The Oxford handbook of ellipsis*, pages 1-43. Oxford University Press, Oxford.
- Liptak, A. (2015). Identity in ellipsis: An introduction. *Lingua*, 166(October 2015):155-171.
- Thoms, G. (2015). Syntactic identity, parallelism and accommodated antecedents. *Lingua*, 166(Pt. B):172-198.
- Van Craenenbroeck, J. (2013). *Ellipsis, identity, and accommodation*.
- Webber, B. L. (1978). *A formal approach to discourse anaphora*. PhD thesis.



{tpoppels|akehler}
@ucsd.edu